

AUG 20 2001

PTO/SB/08 (2-92)  
Sheet 1 of 2

Form PTO-1449

# INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 398032000900

Application Number 09/760,362

Applicant

James C. CHEN

Filing Date January 12, 2001

Group Art Unit 1644

Mailing Date August 16, 2001

## U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
Pnk	1.	10/17/1995	5,459,159	Pandey et al.			
	2.	01/09/1996	5,482,698	Griffiths			
	3.	05/20/1997	5,630,996	Reno et al.			
	4.	05/27/1997	5,633,275	Mori et al.			
	5.	05/26/1998	5,756,541	Strong et al.			
	6.	10/27/1998	5,827,819	Yatvin et al.			
	7.	02/09/1999	5,869,457	Jansen et al.			
	8.	09/21/1999	5,955,585	Scherz et al.			
	9.	06/08/1999	5,910,510	Strong et al.			
↓	10.	09/14/1999	5,952,366	Pandey et al.			

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## FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
Pnk	2.	01/09/1992	WO 92/00106	WIPO			
Pnk	3.	09/04/1997	WO 97/31582	WIPO			

## OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title
Pnk	3.	Aiello, L.P. et al. (1998). "Diabetic Retinopathy," <i>Diabetes Care</i> 21:143-156.
	4.	Birchler, M. et al. (1999). "Selective Targeting and Photocoagulation of Ocular Angiogenesis Mediated by a Phage-Derived Human Antibody Fragment," <i>Nature Biotech.</i> 17:984-988.
	5.	Casalini, P. et al. (1997). "Tumor Pretargeting: Role of Avidin/Streptavidin on Monoclonal Antibody Internalization," <i>J. Nuclear Med.</i> 38(9):1378-1381.
	6.	Chen, Y.R. et al. (1998). "Bilateral Choroidal Metastases as the Initial Presentation of a Small Breast Carcinoma: A Case Report," <i>Chin Med J. (Taipei)</i> 61(2):99-103.
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NOT CONSIDERED

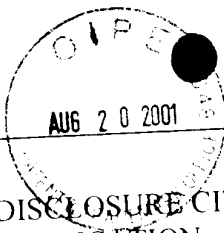
EXAMINER'S REVIEW

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PTO/SB/08 (2-92)

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Form PTO-1449

# INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 398032000900	Application Number 09/760,362
Applicant James C. CHEN	
Filing Date January 12, 2001	Group Art Unit 1644
Mailing Date August __, 2001	

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8.	Dillon, J. et al. (1988). "In Vitro and In Vivo Protection Against Phototoxic Side Effects of Photodynamic Therapy by Radioprotective Agents WR-2721 and WR-77913," <i>Photochemistry and Photobiology</i> 48(2):235-238.
9.	Eliceiri, B.P. and Cheresch, D. A. (1999). "The Role of $\alpha v$ Integrins During Angiogenesis: Insights Into Potential Mechanisms of Action and Clinical Development," <i>The Journal of Clinical Investigation</i> 103(9):1227-1030.
10.	Ferrara, N. (1999). "Vascular Endothelial Growth Factor: Molecular and Biological Aspects," <i>Curr Top Microbiol Immunol.</i> 237:1-30.
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13.	Maniotis, A.J. et al. (1999). "Vascular Channel Formation by Human Melanoma Cells in Vivo and in Vitro: Vasculogenic Mimicry," <i>American Journal of Pathology</i> 155(3):739-52.
14.	Mew, D. et al. (1983). "Photoimmunotherapy: Treatment of Animal Tumors with Tumor-Specific Monoclonal Antibody-Hematoporphyrin Conjugates," <i>J. of Immunol.</i> 130(3):1473-1477.
15.	Miller, J.W. et al. (1999). "Photodynamic Therapy With Verteporfin for Choroidal Neovascularization Caused by Age-Related Macular Degeneration," <i>Ach Ophthal.</i> 117:1161-1173.
16.	Ruebner, A. et al. (1996). "Carrier Systems in PDT II: Accumulation Strategies of Biotin-Avidin Coupled Photosensitizers Developed on Cultured Tumor Cells," <i>SPIE</i> 2625:328-332.
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18.	Schmidt-Erfurth, U. et al. (1998). "Photodynamic Therapy of Subfoveal Choroidal Neovascularization: Clinical and Angiographic Examples," <i>Greafe's Arch Clin Exp Ophthamol.</i> 236:365-374.
19.	Sigdestad, C.P. et al. (1996). "Chemical Modification of Normal Tissue Damage Induced by Photodynamic Therapy," <i>British J. of Cancer</i> 74:S89-S92.
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DATE CONSIDERED

Form PTO-1449 <b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> (Use several sheets if necessary)	Docket Number 398032000900	Application Number 09/000,362
	Applicant James C. CHEN	
	Filing Date January 12, 2001	Group Art Unit 1614
	Mailing Date 9/28, 2001	

## U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
P.H.	1.	07/26/1978	51-159879	Japan			YES
P.H.	2.	11/15/1982	57-185220	Japan			YES

## OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title
P.H.	3.	Nakatani, Y. et al., (1981) "Chemistry and biochemistry of Chinese drugs. VII. Cytostatic pheophytins from silkworm excreta, and derived photocytotoxic pheophorbides" <i>Chem. Pharm. Bull.</i> 29(8):2261-2269.
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	5.	Yamamoto, T. (April 10, 1975) "Suppression of tumors by the photodynamic action of phytochlorin sodium" <i>Medicine and Biology</i> 90(4):161-164, English translation and certificate of translation included, 4 pages.
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EXAMINER:

DATE CONSIDERED:

9/16/01

FORM PTO-1449 (Modified)

ATTY. DOCKET NO.  
25886-0062SERIAL NO.  
09/760,362LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENTAPPLICANT  
Chen, James

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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUB CLASS	FILING DATE
Pnt	A	0	0	4	9	2	4	7	04/25/02	Chen	514	410	01/12/01
Pnt	B	0	0	8	7	2	0	5	07/04/02	Chen	607	88	07/13/01

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUB CLASS	Translation Yes No	
Pnt	C	0	1	4	3	8	2	5	06/21/01	PCT				

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Pnt	D	Barr <i>et al.</i> , "Normal Tissue Damage Following Photodynamic Therapy: Are There Biological Advantages?", Photodynamic Therapy, Basic Principles and Clinical Applications, Henderson, Barbara W. and Dougherty, Thomas J., (Eds.); Marcel Dekker, Inc., New York; pages 201-216
	E	Gilson <i>et al.</i> , "Therapeutic ratio of photodynamic therapy in the treatment of superficial tumours of skin and subcutaneous tissues in man", <i>J. Cancer</i> , 58:665-667 (1988)
	F	Haas <i>et al.</i> , "Phototherapy of Bladder Cancer: Dose/Effect Relationships", <i>Journal of Urology</i> , 136:525-528 (1986)
	G	Lin <i>et al.</i> , "Skin Necrosis due to Photodynamic Action of Benzoporphyrin Depends on Circulating Rather than Tissue Drug Levels: Implications for Control of Photodynamic Therapy", <i>Photochem. Photobiol.</i> , 68(4):575-583 (1998)
	H	Mew <i>et al.</i> , "Ability of Specific Monoclonal Antibodies and Conventional Antisera Conjugated to Hematoporphyrin to Label and Kill Selected Cell Lines Subsequent to Light Activation", <i>Cancer Res.</i> , 45:4380-4386 (1985)
	I	Parrish, J.A. "Photobiologic Consideration in Photoradiation Therapy," <i>Porphyrin Photosensitization</i> , Plenum Press, 91-108 (1983)
	J	Tomio <i>et al.</i> , "Effect of Hematoporphyrin and Red Light on AH-130 Solid Tumors in Rats", <i>ACTA Radiologica Oncol.</i> , 22:49-53 (1983)
✓	K	Yumita <i>et al.</i> , "The Combination Treatment of Ultrasound and Antitumor Drugs on Yoshida Sarcoma", <i>Japan J. Hyperthermic Oncol.</i> , 3(2):175-182 (1987)

EXAMINER

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609.10. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Title: Novel Treatment For Eye Disease

FORM PTO 1449 (Modified)

ATTY. DOCKET NO.  
25886-0062SERIAL NO.  
09760,362LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENTAPPLICANT  
JAMES CHEN

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FILING DATE  
January 12, 2001GROUP  
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## PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUB CLASS	FILING DATE
	A	20	01	00	46	9	8	3	A1 11/29/01	Pandey <i>et al.</i>	514	185	12/18/00
	B	20	01	00	49	5	0	2	A1 12/06/01	Chen	604	167.06	11/25/98
	C	20	02	00	10	5	0	0	A1 01/24/02	Chen	607	89	06/24/98
	D	4	9	3	2	9	3	4	06/12/90	Dougherty <i>et al.</i>	604	21	08/24/88
	E	5	0	0	2	9	6	2	03/20/91	Pandey <i>et al.</i>	514	410	07/20/88
	F	5	1	7	1	7	4	9	12/15/92	Levy <i>et al.</i>	514	410	07/20/91
	G	5	2	8	3	2	5	5	02/01/94	Levy <i>et al.</i>	514	410	09/11/92
	H	5	3	1	4	9	0	5	05/24/94	Pandey <i>et al.</i>	514	410	11/09/92
	I	5	3	9	9	5	8	3	03/21/95	Levy <i>et al.</i>	514	410	01/25/94
	J	5	4	7	4	7	6	5	12/12/95	Thorpe	424	178.17	03/23/92
	K	5	4	8	4	7	7	9	01/16/96	Kenney <i>et al.</i>	514	63	09/02/93
	L	5	4	9	4	7	9	3	02/27/96	Schindele <i>et al.</i>	435	6	06/14/89
	M	5	5	1	4	6	6	9	05/07/96	Selman	514	63	09/29/93
	N	5	5	4	3	5	1	4	08/06/96	Sessler <i>et al.</i>	540	472	04/06/95
	O	5	5	6	5	5	5	2	10/15/96	Magda <i>et al.</i>	534	11	06/07/95
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	R	5	6	0	5	5	1	4	01/06/98	Richter <i>et al.</i>	514	410	02/16/95
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	T	5	7	3	5	5	6	3	04/02/98	Richter	514	410	11/08/95
	U	5	7	4	1	3	1	6	04/21/98	Chen <i>et al.</i>	607	61	12/02/96
	V	5	7	6	6	2	3	4	05/16/98	Chen <i>et al.</i>	607	92	04/16/96
	W	5	7	8	2	8	9	6	07/21/98	Chen <i>et al.</i>	607	88	01/29/97

EXAMINER: I certify that the information disclosed in this statement is true and correct to the best of my knowledge and belief, and that I am not aware of any other information that might be material to the examination of this application. I am not aware of any other information that might be material to the examination of this application. I am not aware of any other information that might be material to the examination of this application.

NOVEL TREATMENT FOR EYE DISEASE

FORM PTO-1449 (Modified)

ATTY. DOCKET NO.  
25886-0062SERIAL NO  
09 760,362LIST OF PATENTS AND PUBLICATIONS FOR  
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JAMES CHENFILING DATE  
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## PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUB CLASS	FILING DATE
	X	5	7	9	8	3	4	9	08/25/98	Levy <i>et al.</i>	514	185	02/17/95
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	AB	5	8	1	7	0	4	8	10/06/98	Lawandy	604	20	03/20/97
	AC	5	8	2	7	1	8	6	10/27/98	Chen <i>et al.</i>	600	407	04/11/97
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	AR	6	0	8	0	1	6	0	06/27/00	Chen <i>et al.</i>	606	72	12/04/96
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	AT	6	0	9	2	5	3	1	07/25/00	Chen <i>et al.</i>	128	899	06/03/99
	AU	6	0	9	6	0	6	6	08/01/00	Chen <i>et al.</i>	607	88	09/11/98

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NOVEL TREATMENT FOR EYE DISEASE

FORM PTO-1449 (Modified)

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## PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUB CLASS	FILING DATE
	AV	6	1	0	0	2	9	0	08/08/00	Levy <i>et al.</i>	514	410	09/14/98
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	BD	6	3	1	9	2	7	3	B1 11/20/01	Chen <i>et al.</i>	607	88	12/16/99
	BE	6	3	3	1	7	4	4	B1 12/13/01	Chen <i>et al.</i>	310	171	04/11/00
	BF	6	3	4	4	0	5	0	02/05/02	Chen	607	88	12/21/98

## FOREIGN PATENT DOCUMENTS

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NOVEL TREATMENT FOR EYE DISEASE

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## FOREIGN PATENT DOCUMENTS

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Title: **NOVEL TREATMENT FOR EYE DISEASE**



FORM PTO-1449 (Modified)

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25886-0062SERIAL NO.  
09/760,362LIST OF PATENTS AND PUBLICATIONS FOR  
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## FOREIGN PATENT DOCUMENTS

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	CT	9	9	0	3	5	0	3	01/28/99	PCT				
	CU	9	9	1	8	8	7	9	04/22/99	PCT				
	CV	9	9	2	0	3	4	6	04/29/99	PCT				
	CW	9	9	3	9	7	6	9	03/12/99	PCT				
	CX	9	9	5	2	5	6	5	10/21/99	PCT				
	CY	9	9	5	8	1	4	9	11/18/99	PCT				
	CZ	9	9	6	6	9	8	8	12/29/99	PCT				

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DA	Adili <i>et al.</i> , "Local delivery of photosensitizing drugs in arteries: a novel approach to photodynamic therapy for the prevention of intimal hyperplasia", <i>Proc. SPIE-INT. Soc. Opt. Eng.</i> , <u>2395</u> :402-408 (1995)
DB	Birchler <i>et al.</i> , "Selective Targeting and Photocoagulation of Ocular Angiogenesis Mediated by a Phage-Derived Human Antibody Fragment", <i>Nature Biotechnol.</i> , <u>17</u> :984-988 (1999)
DC	Chen, J., "Next Generation Light Delivery System for Multi-Treatment Extended Duration Photodynamic Therapy (MED-PDT)", <i>SPIE-Proceedings Series</i> , <u>2972</u> :161-167 (1997)
DD	Ciulla <i>et al.</i> , "Changing therapeutic paradigms for exudative age-related macular degeneration: antiangiogenic agents and photodynamic therapy", <i>Exp. Opin. Invest. Drugs</i> , <u>8(12)</u> :2173-2182 (1999)

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NOVEL TREATMENT FOR EYE DISEASE

FORM PTO-1449 (Modified)

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25886-0062SERIAL NO.  
09,760,362LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
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JAMES CHENFILING DATE  
January 12, 2001GROUP  
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DE	Dartsch <i>et al.</i> , "Photodynamic therapy of vascular stenoses: Response of cultured human smooth muscle cells from non-atherosclerotic arteries and atheromatous plaques following treatment with photosensitizing porphyrins", <i>Proc. SPIE-INT. Sec. Opt. Eng.</i> , <u>1462</u> :77-80 (1990)
DF	Dimitroff <i>et al.</i> , "Anti-angiogenic activity of selected receptor tyrosine kinase inhibitors, PD166285 and PD173074: Implications for combination treatment with photodynamic therapy", <i>Investigational New Drugs</i> , <u>17</u> :121-135 (1999)
DG	Dougherty <i>et al.</i> , <u>Yearly Review</u> "Photodynamic Therapy", <i>Photochem. Photobiol.</i> , <u>58</u> (6):895-900 (1993)
DH	Dougherty <i>et al.</i> , <u>Review</u> "Photodynamic Therapy", <i>J. Natl. Cancer Inst.</i> , <u>90</u> (12):889-905 (1998)
DI	Ferrario <i>et al.</i> , "Antiangiogenic Treatment Enhances Photodynamic Therapy Responsiveness in a Mouse Mammary Carcinoma", <i>Cancer Research</i> , <u>60</u> :4066-4069 (2000)
DJ	Granville <i>et al.</i> , "Photodynamic treatment with benzoporphyrin derivative monoacid ring A produces protein tyrosine phosphorylation events and DNA fragmentation in murine P815 cells", <i>Photochem. Photobiol.</i> , <u>67</u> (3):358-362 (1998)
DK	Jiang <i>et al.</i> , "Enhanced Photodynamic Killing of Target Cells by Either Monoclonal Antibody or Low Density Lipoprotein Mediated Delivery Systems", <i>J. Controlled Release</i> , <u>19</u> (1/3):41-58 (1992)
DL	Jiang <i>et al.</i> , "Selective depletion of a thymocyte subset in vitro with an immunomodulatory photosensitizer", <i>Clinical Immunol.</i> , <u>91</u> (2):178-187 (1999)
DM	Marcus, S.L., "Photodynamic Therapy of Human Cancer", <i>Proc. IEEE</i> , <u>80</u> (6):869-889 (1992)
DN	Margaron <i>et al.</i> , "Photodynamic therapy inhibits cell adhesion without altering integrin expression", <i>Biochem. Biophys. ACTA</i> , <u>1359</u> (3):200-210 (1997)
DO	McMillan <i>et al.</i> , "Tumor growth inhibition and regression induced by photothermal vascular targeting and angiogenesis inhibitor retinoic acid", <i>Cancer Lett.</i> , <u>137</u> :35-44 (1999)
DP	Meerovich <i>et al.</i> , "Photosensitizer for PDT based on phosphonate phthalocyanine derivative", <i>Proc. SPIE-INT. Sec. Opt. Eng.</i> , <u>2924</u> :86-90 (1996)
DQ	Mew <i>et al.</i> , "Photoimmunotherapy: Treatment of Animal Tumors with Tumor-Specific Monoclonal Antibody-Hematoporphyrin Conjugates", <i>J. Immunol.</i> , <u>130</u> (3):1473-1477 (1983)

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NOVEL TREATMENT FOR EYE DISEASE

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DR	Millson, C.E. <i>et al.</i> "Ex-Vivo Treatment of Gastric Helicobacter Infection by Photodynamic Therapy," <i>J. Photochem. Photobiol. B: Biol.</i> , <u>32</u> :59-65 (1996)
DS	Nemoto <i>et al.</i> , "Inhibition by a new bisphosphonate (YM175) of bone resorption induced by the MBT-2 tumour of mice", <i>Brit. J. Cancer</i> , <u>67</u> (5):893-897 (1993)
DT	Pandey <i>et al.</i> , "Shedding some light on tumours", <i>Chem. Indust.</i> , <u>1998</u> :739-743, (1998)
DU	Renno <i>et al.</i> , "Photodynamic Therapy Using Lu-Tex Induces Apoptosis In Vitro, and Its Effect is Potentiated by Angiostatin in Retinal Capillary Endothelial Cells", <i>Investigative Ophthalmol. &amp; Visual Sci.</i> , <u>41</u> (12):3963-3971 (2000)
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DW	Schmidt-Erfurth <i>et al.</i> , "In Vivo Uptake of Liposomal Benzoporphyrin Derivative and Photothrombosis in Experimental Corneal Neovascularization", <i>Lasers in Surgery and Medicine</i> , <u>17</u> :178-188 (1995)
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DY	Sharman <i>et al.</i> , "Novel water-soluble phthalocyanines substituted with phosphonate moieties on the benzo rings", <i>Tetrahedron Lett.</i> , <u>37</u> (33):5831-5834 (1996)
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